1. Background and Learning Objectives
   - Timing: 5min

2. Budget Basics
   - Timing: 10min

3. Understanding the Process
   - Timing: 15min

4. Understanding Budget Documents
   - Timing: 15min

5. Participation Essentials
   - Timing: 15min

6. Discussion
   - Timing: 20min
Our Bylaws state that we must consult with community and seek public comment on Operating Plans and Budgets.

Many existing participants invested years to gain experience and knowledge of ICANN’s planning and budget.

To involve more community members, we need to help build capacity to enable participation.
Learning Objectives

1. Understand Bylaws requirements and process for ICANN budget and planning.

2. Understand the structure of ICANN planning and budget documents.

3. Provide valuable feedback on plans and budgets during public comment periods.
Budget Basics

Agenda Item #2
**What is a budget?**

“Estimate of income and expenditure for a set actions over a period of time.”

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**What is an Operating Plan?**

The Organization’s plan to implement its strategy.

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**What are they not?**

They are not fixed, or unchangeable.
How is ICANN funded?

Total: $142.8 Million

- Domain Name Registration: $44.9 Million (.43 cent Fee)
- Annual Fixed Fees: $3.7 Million
- Other: $84.2 Million

*Based on Fiscal Year 2018*
Understanding the Process

Agenda Item #3
Who decides what goes into the plan?

ICANN Organization
Proposes what work should be done the next year and composes a draft based on funding projections.

Community
Reviews and comments on draft plan.

Board
Considers community input and approves final budget.
How is the plan structured?

**OBJECTIVES**
Core foundational strategies upon which goals are based

**GOALS**
Desired deliverables to meet organization-wide objectives

**PORTFOLIOS**
Cross-functional work undertaken in support of goals to fulfil core deliverables and services

**PROJECTS**
Temporary endeavour with a defined beginning and end and a specific set of deliverables
How is ICANN funding spent?

FY18 Budget
$142.8

- Personnel
- Professional Services
- Administration
- Travel & Meetings
- Capital
Understanding Budget Documents

Agenda Item #4
What documents do we share?

**PTI and IANA**
- PTI Operating Plan & Budget
- IANA Budget

**ICANN**
- ICANN Operating Plan and Budget
- 5 Year Operating Plan
- Project and Portfolio Spreadsheets
What do all those charts and graphs actually mean?

Graduated Levels of Detail

5 Year Strategic Plan (Goals and Objectives)

5 Year Operating Plan Update (Portfolios)

Annual Operating Plan and Budget (Portfolios and Projects)
What’s the difference between Strategic Plan & Operating Plan?

Strategic Plan
WHAT ICANN plans to do to carry out its mission.

Operating Plan
HOW ICANN will carry out the strategic plan and HOW MUCH it will cost.

*Note: Next Strategic Plan under development.*
What are some basic data points?

FUNDING $142.8 Million

EXPENSES $142.8 Million

BALANCED BUDGET

AVERAGE HEADCOUNT 413.8
(How many people work at ICANN)

FUNDS UNDER MANAGEMENT $443 Million
(Includes Operating Fund, Reserve Fund, New gTLD, Auction Proceeds)

*Based on Fiscal Year 2018. More information and tracking at: icann.org/accountability-indicators
Participation Essentials

Agenda Item #5
When can community members participate?

- Aug: Kickoff Webinars on Process and Timeline
- Oct/Nov: PTI/IANA Public Comment & ICANN Annual General Meeting
- Jan/Feb: ICANN Public Comment
- Mar: ICANN Community Forum Meeting
- Jun: Empowered Community Review
Are there conditions or restrictions to participation?

- No prior knowledge.
- No previous experience.
- Anyone can participate.

*Empowered Community Information: icann.org/ec*
What should I provide input on?

1. **Projects**
   Projects you feel should receive more or less funds

2. **New Activities**
   Spending on new activities

3. **Measurements**
   Accountability Indicators

4. **Phasing**
   The year in which work is scheduled to take place
How can I get started?

- **Attend** Budget Working Group or Finance Related sessions at ICANN Public Meetings. (01 Nov at 1030-1400) Visit meetings.icann.org
- **Find and participate in** an ICANN community group. Visit icann.org/community
- **Subscribe to** the community-finance@icann.org email list for regular updates on planning activities.
- **View current year plans** for reference online. Visit icann.org/planning
- **Review plans and budgets** available for public comment. icann.org/public-comments
- **Work with** your group, or submit your own comments about changes you would like to see. icann.org/public-comments
DISCUSSION

Agenda Item #6
Thank You
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