Finance Presentations

Finance Related Topics and Updates to SO/AC Groups

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Becky Nash, ICANN VP Finance

ICANN 60
October/November 2017
Agenda

1. FY19 Planning & Budgeting
   Timing: 10-15min

2. Financial Planning (Long-term)
   Timing: 10-15min

3. Reserve Fund Public Comment and Next Steps
   Timing: 10-15min

4. Accountability Indicators
   Timing: 10-15min
FY19 Planning Process

Agenda Item #1
Process Overview

Planning Process

VISION/MISSION STATEMENT

STRATEGIC PLAN

MISSION

STRATEGIC PLAN

PTI

FIVE-YEAR OPERATING PLAN

REPORTING

VALIDATE

VALIDATE

ACHIEVEMENT & PROGRESS REPORTING
e.g., Quarterly Stakeholder Call

ICANN COMMUNITY STAKEHOLDER CONSULTATION & INPUT

ANNUAL OPERATING PLAN & BUDGET

PERFORMANCE OVERSIGHT

PTI STAKEHOLDERS CONSULTATION & INPUT

ANNUAL OPERATING PLAN & BUDGET

1yr

3yr
ICANN’s Work Structure

**Objectives** (5)
Core foundational strategies upon which goals are based.

**Goals** (16)
Desired deliverables to meet organization-wide objectives.

**Portfolios (60)**
Cross-functional work undertaken in support of goals to fulfil core deliverables and services.

**Projects (365)**
A temporary endeavour that has a defined beginning and end and a specific set of deliverables.

Community input
ICANN Staff
FY19 Planning Overview Timeline

Current Status

- Kickoff
- PTI & IANA Draft
- PTI Board & ICANN BFC Review Drafts
- PTI Board & ICANN BFC Approve Drafts (Informal)
- PTI & IANA Public Comment
- Report/Revise
- PTI Board / ICANN BFC Review Proposed OP& Bs
- PTI Board Adopts PTI / BFC Approves IANA
- Review
- ICANN Board Reviews IANA
- ICANN Board Adopts IANA
- EC Period
- ICANN BFC Reviews Draft
- ICANN BFC Approve Draft (Informal)
- ICANN Public Comment
- Report/Revise
- Review
- ICANN BFC Reviews Proposed
- ICANN BFC Approves Proposed
- ICANN Board Review
- ICANN Board Adopts
- EC Period
- FY19

9 Months Before FY19 Begins

45 Days Before FY19 Begins
<table>
<thead>
<tr>
<th>Step</th>
<th>Proposed Dates</th>
<th>Note</th>
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<tbody>
<tr>
<td>PTI &amp; IANA OP&amp;B DRAFT Submitted to PTI Board &amp; ICANN BFC</td>
<td>25 Sept 2017</td>
<td>At Least 9 Months Before Next Fiscal Year (Per Bylaws)</td>
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<tr>
<td>PTI &amp; IANA Public Comment</td>
<td>9 Oct – 26 Nov 2017</td>
<td>41 Days (48 Days Minus 7 Days ICANN Meeting)</td>
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<tr>
<td>ICANN 60 Annual General Meeting</td>
<td>28 Oct – 3 Nov 2017</td>
<td>Engage with Community on PTI/IANA/ICANN</td>
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<td>PTI Board to Approve PTI OP&amp;B</td>
<td>9 Jan 2018</td>
<td>Before ICANN Public Comment Begins (Input to ICANN OP&amp;B Per Bylaws)</td>
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<tr>
<td>ICANN DRAFT Submitted to ICANN BFC</td>
<td>5 Jan 2018</td>
<td>After Year End Break</td>
</tr>
<tr>
<td>ICANN Public Comment</td>
<td>15 Jan – 4 Mar</td>
<td>48 Days (7 weeks)</td>
</tr>
<tr>
<td>SO/AC Additional Budget Request Submission Due Date</td>
<td>30 January</td>
<td>Process timing similar to last year</td>
</tr>
<tr>
<td>ICANN 61 Community Forum</td>
<td>10 Mar – 15 Mar</td>
<td>Engage with Community on Comments Submitted for ICANN</td>
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<tr>
<td>ICANN Proposed OP&amp;B Submitted to ICANN Board</td>
<td>11 May</td>
<td>At Least 45 Days Before Next Fiscal Year (Per Bylaws)</td>
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<tr>
<td>ICANN Board to Approve ICANN OP&amp;B</td>
<td>31 May</td>
<td>Target to allow for EC Review Period before 1 July</td>
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Financial Planning (Long-term)

Agenda Item #2
Financial Planning (Long-term)

• Why?
  – Community workload, post IANA stewardship transition.
  – Limited resources: ICANN’s funding is stabilizing.
  – Next 5-year Strategic plan needs to be renewed.

• What?
  – 5-year “rolling” plan
  – Strategic objectives resulting from prioritization
  – Milestone-based roadmap of action plans, including resource requirements, limited by resources available.

• How?
  – ICANN’s budget mostly committed
  – Emerging Trends, analyzed and prioritized annually
  – Trade-off between existing activities and new work from trends

• Next steps?
  – Define a strategic plan development process with community engagement
Reserve Fund

Agenda Item #3
Reserve Fund Public Comment and Next Steps

- Board Reserve Fund Working Group (created in 2015):
  - Established rationale and 12-month target level
  - Determined to publish in 2 steps: (i) rationale/target level, (ii) governance/replenishment.

- Public Comment on rationale/target level:
  - 12-October to 30-November.
  - Public comment report issued by 22-December.

- Next steps: 1-Investment Policy update
  - ICANN org proposed response to public comments
  - ICANN org proposed Investment Policy update
  - BFC review and recommendation to the Board
  - Board decision

- Next steps: 2-Governance model / Replenishment
Accountability Indicators

Agenda Item #4
Welcome to ICANN's Accountability Indicators!

In the spirit of accountability and transparency, the indicators show the latest progress toward achieving ICANN’s strategy. The strategy was developed with the community. It has five objectives, each of which contains a set of goals. Teams from across the organization work together on projects and activities that support each goal.

Charts are interactive and allow you to drill down to see more data.

Objectives in Detail

1. Evolve and further globalize ICANN
   More Information

2. Support a healthy, stable, and resilient unique identifier ecosystem
   More Information

3. Advance organizational, technological, and operational excellence
   More Information

4. Promote ICANN's role and multistakeholder approach
   More Information

5. Develop and implement a global public interest framework bounded by ICANN’s mission
   More Information
Accountability Indicators Feedback - 25 August to 30 September 2017

ACCOUNTABILITY INDICATORS

OBJECTIVE 1
Evolve and further globalize ICANN

OBJECTIVE 2
Support a healthy, stable, and resilient unique identifier ecosystem

OBJECTIVE 3
Advance organizational, technological, and operational excellence

OBJECTIVE 4
Promote ICANN’s role and multistakeholder approach

OBJECTIVE 5
Develop and implement a global public interest framework based on ICANN’s mission

A report summarizing the feedback received and describing the next steps

Introduction
We launched the Accountability Indicators in August 2017. This is another way for the organization to demonstrate accountability, and helps you see how we are making progress against our strategic objectives.

This dynamic and interactive site allows you to investigate different dimensions of what we do and who we are. We encourage you to share your feedback so that we can continuously improve it.

We’ve already had some feedback just one month after launch, which you’ll see in this report.

From now on, we’ll produce this report each quarter.
Accountability Indicators Video

https://www.icann.org/news/multimedia/2881
Welcome Your Input & Questions

Thank You!
Thank You and Questions

Visit us at icann.org
Email: planning@icann.org